

September 7, 2012

## Books Closed / Open Announcement

**ATTENTION: International Research, Sales, Trading and Operations Staff**

### Suruga Bank Limited

**Symbol:** SUGBY  
**CUSIP Number:** 869007203  
**Exchange:** OTC  
**Ratio:** 1 ADR : 10 Ordinary Shares  
**Country:** Japan

**Books Closed:** 09/13/2012      **Books Open:**

Transaction	Status	Reason(s)
Issuance	Closed	12g3-2b Eligibility Is Under Review
Cancellation		

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