

# DEPOSITARY RECEIPTS

September 21, 2017

## BOOKS CLOSED / OPEN ANNOUNCEMENT

**ATTENTION: International Research, Sales, Trading and Operations Staff**

### Mitsui Mining & Smelting Co., Ltd.

**Symbol:** MMSMY  
**CUSIP Number:** 606844207  
**Exchange:** OTC  
**Ratio (DR:ORD):** 1 : 5  
**Country of Incorporation:** Japan

**Books Closed:** September 21, 2017

**Books Open:**

| <u>Transaction</u> | <u>Status</u> | <u>Reason(s)</u>                 |
|--------------------|---------------|----------------------------------|
| Issuance           | Closed        | Corporate Action - Reverse Split |
| Cancellation       | Closed        | Corporate Action - Reverse Split |

To learn more about ADRs and issuer programs, please call our marketing desks:

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