

Corporate Action Notice

September 12, 2017

Ratio Change and Stock Distribution

Sumitomo Metal Mining Co., Ltd.

DR CUSIP: 86563T104 / **DR ISIN:** US86563T1043

DR Ticker Symbol: SMMYY

Ratio: (DS: Underlying Shares): 1:1

**BNY MELLON HAS ESTABLISHED THE
FOLLOWING DATES FOR THIS
CORPORATE ACTION:**

DR Record Date: Oct 2, 2017

DR Payable Date: Oct 3, 2017

DR Effective Date: Oct 4, 2017

DR Distribution Rate: 100%

Issuance Fee: \$0.025 per DS issued

Old Ratio: 1 DS: 1 Common Share

New Ratio: 4 DSs: 1 Common Share

Please be advised that Sumitomo Metal Mining Co., Ltd. has announced a one (1) for two (2) reverse stock split on its ordinary shares in the local market effective October 1, 2017. As a result, BNY Mellon will change the ratio on the Sumitomo Metal Mining Co., Ltd. ADR program. The ratio change will occur simultaneously with a 100% DS distribution (1 additional DS for each 1 DS held).

Please note: A ratio change may impact the fees payable by DR investors.

BNY Mellon's books will be closed for all issuance and cancellation transactions from the close of business September 28, 2017 and will reopen on October 6, 2017.

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