Corporate Action Notice

September 21, 2017

Ratio Change and Stock Distribution

Sumitomo Heavy Industries Ltd
DR CUSIP: 865620108 / DR ISIN: US8656201089
DR Ticker Symbol: SOHVY
Ratio: (DS: Underlying Shares): 1:5

BNY MELLON HAS ESTABLISHED THE FOLLOWING DATES FOR THIS CORPORATE ACTION:

DR Record Date:	**Oct 2, 2017**
DR Payable Date:	**Oct 3, 2017**
DR Effective Date:	**Oct 4, 2017**
DR Distribution Rate:	**300%**
Issuance Fee:	**$0.00 per DS issued**
Old Ratio:	1 DS: 5 Common Shares
New Ratio:	4 DSs: 1 Common Share

Please be advised that Sumitomo Heavy Industries Ltd has announced a one (1) for five (5) reverse stock split on its ordinary shares in the local market effective October 1, 2017. As a result, BNY Mellon will change the ratio on the Sumitomo Heavy Industries Ltd ADR program. The ratio change will occur simultaneously with a 300% DS distribution (3 additional DSs for each 1 DS held).

Please note: A ratio change may impact the fees payable by DR investors.

BNY Mellon's books will be closed for all issuance and cancellation transactions from the close of business September 28, 2017 and will reopen on October 6, 2017.

To learn more about Depositary Receipts and issuer programs, please contact our marketing desks:

NEW YORK
Ravi Davis
Richard J Maehr
+ 1 212 815 2267
adrdesk@bnymellon.com

LONDON
Jacek Jankowski
Vice President
+ 44 207 163 7427
jacek.jankowski@bnymellon.com

BNY MELLON